

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U65922MH2009PTC331333

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAMCS6894H

(ii) (a) Name of the company

SWARNA PRAGATI HOUSING M

(b) Registered office address

142, Golcha House, New colony  
Sadar,  
Nagpur  
Nagpur  
Maharashtra  
440001

(c) \*e-mail ID of the company

cs@sphm.co.in

(d) \*Telephone number with STD code

07122585800

(e) Website

(iii) Date of Incorporation

05/01/2009

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension

(e) Extended due date of AGM after grant of extension

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	39,000,000	33,851,319	33,851,319	33,851,319
Total amount of equity shares (in Rupees)	390,000,000	338,513,190	338,513,190	338,513,190

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	39,000,000	33,851,319	33,851,319	33,851,319
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	390,000,000	338,513,190	338,513,190	338,513,190

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	11,000,000	9,598,513	9,598,513	9,598,513
Total amount of preference shares (in rupees)	110,000,000	95,985,130	95,985,130	95,985,130

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>Compulsorily Convertible Preference Share</b>				
Number of preference shares	11,000,000	9,598,513	9,598,513	9,598,513
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	110,000,000	95,985,130	95,985,130	95,985,130

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	33,851,319	0	33851319	338,513,190	338,513,190	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
<b>At the end of the year</b>	33,851,319	0	33851319	338,513,190	338,513,190	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	9,598,513	0	9598513	95,985,130	95,985,130	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
<b>At the end of the year</b>	9,598,513	0	9598513	95,985,130	95,985,130	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

92,844,710

**(ii) Net worth of the Company**

260,299,903

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	5,791,873	17.11	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0

6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0
	<b>Total</b>	5,791,873	17.11	0	0

**Total number of shareholders (promoters)**

5

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,234,776	3.65	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	25,344,170	74.87	9,598,513	100
10.	Others SWARNA PRAGATI EMPLOY	1,480,500	4.37	0	0



	<b>Total</b>	28,059,446	82.89	9,598,513	100
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**Total number of shareholders (other than promoters)**

16

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

21

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	5	5
Members (other than promoters)	16	16
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	1	0	1	0	9.41
<b>B. Non-Promoter</b>	0	0	0	0	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	2	0	2	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	2	0	2	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	0	3	0	3	0	9.41

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

5

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RAMESH KUMAR ARA	01853751	Director	4,087,482	
MUKUL GULATI	00746183	Nominee director	0	
SHREYA DEB	07915580	Nominee director	0	
Megha Vivek Mohunta	AIHPB0936H	Company Secretary	0	
Prashant Banerjee	AKZPB2133L	CEO	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Abhijeet Kudva	03042087	Nominee director	17/02/2021	cessation
MUKUL GULATI	00746183	Additional director	17/02/2021	Appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	03/09/2020	21	9	88.07

**B. BOARD MEETINGS**

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	04/06/2020	3	3	100
2	28/07/2020	3	3	100
3	11/11/2020	3	3	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
4	17/02/2021	3	3	100

### C. COMMITTEE MEETINGS

Number of meetings held

14

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	04/06/2020	3	3	100
2	Audit Committee	28/07/2020	3	3	100
3	Audit Committee	11/11/2020	3	3	100
4	Audit Committee	17/02/2021	3	3	100
5	Risk Management	28/07/2020	3	3	100
6	Risk Management	17/02/2021	3	3	100
7	ALCO COMMITTEE	04/06/2020	3	3	100
8	ALCO COMMITTEE	28/07/2020	3	3	100
9	ALCO COMMITTEE	11/11/2020	3	3	100
10	ALCO COMMITTEE	17/02/2021	3	3	100

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	RAMESH KUMAR	4	4	100	14	14	100	Yes
2	MUKUL GULATI	1	1	100	4	4	100	No
3	SHREYA DEB	4	4	100	14	14	100	No

### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Prashant Banerjee	CEO	3,500,000	0	0	0	3,500,000
2	Megha Vivek Mohu	Company Secre	1,253,730	0	0	0	1,253,730
	Total		4,753,730	0	0	0	4,753,730

Number of other directors whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RAMESH KUMAR /	Director	200,000	0	0	0	200,000
	Total		200,000	0	0	0	200,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

RAVI SHARMA

Whether associate or fellow

Associate  Fellow

Certificate of practice number

3666

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ... 05 dated 14/02/2022

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

MUKUL GULATI  
Digitally signed by MUKUL GULATI  
Date: 2022.05.12 13:22:56 +05'30'

DIN of the director

00746183

**To be digitally signed by**

MEGHA VIVEK MOHUNTA  
Digitally signed by MEGHA VIVEK MOHUNTA  
Date: 2022.05.12 12:52:33 +05'30'

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

List_of_Shareholders_2021.pdf
Approval Extension Letter pdf.pdf
<b>MGT-8 Swarna Pragati.pdf</b>
List of Meetings_2020-2021.pdf

**Remove attachment**

**Modify**

**Check Form**

**Prescrutiny**

**Submit**

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



SWARNA PRAGATI HOUSING

**LIST OF SHAREHOLDERS AS ON 31.03.2021**

Category	Type of Share	No. of Shares held	% Shareholding
<b>Promoters' holding:</b>			
A. Ramesh Kumar	Equity	40,87,482	9.41
Raj Kumar Singh	Equity	8,17,495	1.88
Mukesh Kumar	Equity	2,72,498	0.63
SasiBhushan Dash	Equity	1,56,661	0.36
Mohammad Sameer Siddiqui	Equity	4,57,737	1.05
<b>Non Promoter holding:</b>			
Aavishkaar Venture Management Services Private Limited	Equity	15,127	0.03
AavishkarGoodwell India Microfinance Development Company – II Limited	Equity	1,20,85,737	27.82
Zephyr Peacock India Fund III Limited	Equity	98,93,823	22.77
	Preference	39,43,772	9.08
ON Mauritius	Equity	8,83,804	2.03
	Preference	56,54,741	13.01
MA Alagappan Holdings Private Limited	Equity	6,31,793	1.45
Advik Holdings Private Limited	Equity	64,350	0.15





Polaris Banyan Holding Private Limited	Equity	14,62,416	3.37
SwarnaPragati Welfare Trust	Equity	14,80,500	3.41
Prashant Thakker & Reena Thakker	Equity	76,049	0.18
Jetu J T Lalvani, Partner, Telfund Finance Company	Equity	1,08,222	0.25
Primarc – I Venture Advisory LLP	Equity	1,98,898	0.46
Gaurang Vinod Desai	Equity	76,049	0.18
Vandana Singla	Equity	39,487	0.09
Vikram Gandhi	Equity	5,84,966	1.35
Pramod Bhasin	Equity	4,38,725	1.01
Anand Naik	Equity	19,500	0.04
<b>GRAND TOTAL</b>		<b>4,34,49,832</b>	

For SWARNA PRAGATI HOUSING MICROFINANCE PRIVATE LIMITED



(MEGHA MOHUNTA)  
COMPANY SECRETARY  
Membership No.: F10009





**R S M & Co.**  
**COMPANY SECRETARIES**

2E/207, | 2ND FLOOR | CAXTON HOUSE  
JHANDEWALAN EXTENSION | NEW DELHI 110 055  
Tel +91 11 23623813, +91 11 43709121,  
Email rsmco121@gmail.com alternate ravi@csrsm.com  
ICSI Unique Code: P1997DE017000

**Form No. MGT-8**

**[Pursuant to section 92(2) of the Companies Act, 2013 and Rule 11 (2) of Companies  
(Management and Administration) Rules, 2014]**

We have examined the registers, records and books and papers of **SWARNA PRAGATI HOUSING MICROFINANCE PRIVATE LIMITED** (the Company) CIN U65922MH2009PTC331333 required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the Financial Year ended on 31<sup>st</sup> March, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year: -
  1. The Company has complied with the provisions of the Act & Rules made there under in respect of its status under the Act;
  2. The Company has complied with the provisions of the Act & Rules made there under in respect of maintenance of registers/records & making entries therein within the time prescribed therefore;
  3. The Company has duly filed the forms and returns with the Registrar of Companies, Regional Director, Central Government, the tribunal, the court or other authorities generally within the prescribed time/beyond time.
  4. The Company has complied with the provisions of the Act & Rules made there under in respect of calling/ convening/ holding meetings of Board of Directors and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
  5. The Company was not required to close its Register of Members during the year;
  6. The Company has not made any advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
  7. The Company has complied with the provisions of the Act & rules made there under in respect of contract/arrangements with related party during the year as specified in section 188 of the Act.



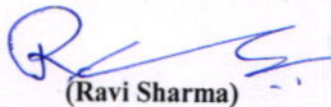
R S M & Co.

**Company Secretaries**

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8. The Company has not made allotment of Equity shares or buy-back of securities/ redemption of preference shares / alteration or reduction of share capital/ conversion of shares/ securities during the year.
9. There was no transaction necessitating the company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares.
10. The Company has not declared dividend during the year. The Company has not transferred any amounts to Investor Education and Protection Fund during the year as there was no unclaimed or unpaid amount in accordance with section 125 of the Act.
11. The Company has complied with the provisions of the Act & Rules made there under in respect of signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3) (4) and (5) thereof;
12. The Company has complied with the provisions of the Act and rules made there under in respect of appointment/ re-appointments/ retirement/ disclosures of the Directors, Key Managerial Personnel and remuneration paid to them during the year.
13. The Company has complied with the provisions of section 139 of the Act, during the year.
14. The Company was not required to obtain any approvals of the Central Government, Company Law Board, Regional Director, Registrar or such other authorities as may be prescribed under the various provisions of the Act.
15. The Company has not made any acceptance/ renewal/ repayment of deposits;
16. The Company has complied with the provision of Act and rules made thereunder in respect of borrowings from banks and other lending institutions during the year;
17. The Company has not made any loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. The Company has not made any alteration in the Memorandum & Articles of Association of the Company.

For R S M & Co  
Company Secretaries

  
(Ravi Sharma)

Partner (C.P. No. 3666)

UDIN: F004468D000305263

Peer Review Number: 978/2020



Place: New Delhi

Dated: 11.05.2022





SWARNA PRAGATI HOUSING

## LIST OF MEETINGS OF THE COMPANY DURING THE FINANCIAL YEAR 2020 -2021

### BOARD MEETING

Sr. No.	Dates
1	04.06.2020
2	28.07.2020
3	11.11.2020
4	17.02.2021

### GENERAL MEETINGS

Sr. No.	Dates
1	03.09.2020 (AGM)

### AUDIT COMMITTEE MEETINGS

Sr. No.	Dates
1	04.06.2020
2	28.07.2020
3	11.11.2020
4	17.02.2021

### ALCO COMMITTEE MEETINGS

Sr. No.	Dates
1	04.06.2020
2	28.07.2020
3	11.11.2020
4	17.02.2021

### RISK MANAGEMENT COMMITTEE MEETINGS

Sr. No.	Dates
1	28.07.2020
2	17.02.2021



SWARNA PRAGATI HOUSING

NOMINATION & REMUNERATION COMMITTEE MEETINGS

Sr. No.	Dates
1	04.06.2020
2	28.07.2020
3	11.11.2020
4	17.02.2021

For **SWARNA PRAGATI HOUSING MICROFINANCE PRIVATE LIMITED**

**(MEGHA MOHUNTA)**  
**COMPANY SECRETARY**  
**Membership No.: F10009**